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Dated: February 23, 2004

Signature:

(Robert B. Cohen)

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Docket No.: SONYTA 3.3-139
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Asano et al.

Application No.: 09/937,120

Group Art Unit: 2132

Filed: December 17, 2001

Examiner: Not Yet Assigned

For: DATA PROCESSING APPARATUS AND
DATA PROCESSING METHOD

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on two search reports which issued in connection with applicant's corresponding European application. A copy of these search reports and a copy of each reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: February 23, 2004

Respectfully submitted,

By

Robert B. Cohen

Registration No.: 32,768

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KRUMHOLZ & MENTLIKOFF

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/37,120
				Filing Date	December 17, 2001
				First Named Inventor	Tomoyuki Asano
				Art Unit	2132
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONYTA 3.3-139

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	5,917,910	06-29-1999	Ishiguro et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	JP-10-283268-A1	10-23-1998	Toshiba Corp.		**
	BB	WO-99/40702-A1	08-12-1999	Sun Microsystems, Inc.		✓
	BC	EP-0 798 892-A2	10-01-1997	International Business Machines Corporation		✓
	BD	EP-0 802 535-A1	10-22-1997	Matsushita Electric Industrial Co., Ltd.		✓
	BE	EP-0 886 409-A2	12-23-1998	Digital Vision Laboratories Corporation		✓
	BF	EP-1 039 462-A2	09-27-2000	Sony Corporation		✓
	BG	WO-099/38164-A1	07-29-1999	Matsushita Electric Industrial Co., Ltd.		1A
	BH	EP-0 989 557-A1	03-29-2000	Matsushita Electric Industrial Co., Ltd.		✓
	BI	WO-99/55055-A1	10-28-1999	Iomega Corporation		✓
	BJ	FR-2772532	06-18-1999	Schlumberger Industries SA		
	BK	WO-00/49764-A1	08-24-2000	Sun Microsystems, Inc.		✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	W. FORD, "Computer Communications Security: Integrity Check-Values (Seals)", Computer Communications Security, 1994, pp. 75-77, 116-117.	✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

** with English abstract only.

1A EP 0 989 557 is an English counterpart to WO 99/38164.

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Examiner Signature		Date Considered	
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